



PTO/SB/08A (08-03)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
Sheet	1	of	
		Application Number	09/690,544
		Filing Date	16 October 2000
		First Named Inventor	Kenneth W. Aull
		Art Unit	2132
		Examiner Name	V. Perongavoor
		Attorney Docket Number	NG(MS)7178NP

## **U.S. PATENT DOCUMENTS**

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
V/P		European Search Report for EP 01112854.3-1237; Search completed 4 November 2004 by Examiner C. Djuardin	—
V/P		JING-JANG HWANG, ET AL.: "Access Control with Role Attribute Certificates"; Computer Standards & Interfaces, Elsevier Sequoia. Lausanne, CH, vol. 22, no. 1, March 2000 (2000-03), pages 43-53, XP004199339 ISSN: 0920-5489 *abstract* *page 43, left-hand column, line 1-page52, right-hand column, line 4; figures 2-4; table 4*	—
V/P		NEUMAN B C ED - "Proxy-based Authorization and Accounting for Distributed Systems"; Institute of Electrical and Electronics Engineers; Proceedings of the International Conference on Distributed Computing Systems. Pittsburgh, May 25-28, 1993, Los Alamitos, IEEE Comp. Soc. Press, US vol. CONF. 13, 25 May 1993 (1993-05-25), pages 283-291, XP010095707 ISBN: 0-8186-3770-6 *page 283, left-hand column, line 18-page 286, left-hand column, line 24; figures 1,3* *page 289, left-hand column, line1-line 32; Figure 6* *page 290, left-hand column, line 9-right-hand column, last line*	—

Examiner Signature	Venkut Pensguru	Date Considered	1/9/2005
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		TRW DOCKET NO. 15-0216	SERIAL NO 09/690,544		
INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)		Technology Center 2100 DEC 07 2000			
		APPLICANT: Kenneth W. Aull, et al.			
		FILING DATE: 10/16/00	GROUP: N/A 2132		
<b>U.S. PATENT DOCUMENTS</b>					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS
					FILING DATE IF APPROPRIATE
					
<b>FOREIGN PATENT DOCUMENTS</b>					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS
					TRANSLATION
				<input type="checkbox"/> YES	<input type="checkbox"/> NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>					
VP	1	W. Ford, et al., RFC 2459, "Internet X.509 Public Key Infrastructure", pp. 1-101, January 1999			
EXAMINER <i>Venkat Rengarao</i>		DATE CONSIDERED <i>1/9/2005</i>			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

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Substitute for form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Sheet	1	of	1	Application Number	09/690,544
				Filing Date	16 October 2000
				First Named Inventor	Kenneth W. Aull
				Art Unit	2132
				Examiner Name	V. Perongavoor
				Attorney Docket Number	NG(MS)7178

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name Of Patentee Or Applicant Of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If Known)			
	US-				
	US-				
	US-				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code-Number-Kind Code (If Known)				
VP		WO 94/26044 A	11-10-1994	Micali Silvio	*abstract* *page 7, line 4-page 9, line 34* *page 21, line 35-page 28, line 26*	—
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published				T <sup>2</sup>
VP		GASSER, M., ET AL.: "The Digital Distributed System Security Architecture"; Proceedings of the National Computer Security Conference, XXX, XXX, 1 October 1989 (1989-10-01), pages 305-319, XP002017714; *page 316, line 21-page 317, line 43*				—
VP		DENNING, D.R., et al.: Taxonomy for Key Escrow Encryption Systems"; Communications of the Association for Computing Machinery, Association for Computing Machinery, New York, US, vol. 39, No. 3, 1 March 1996 (1996-03-01), pages 34-40, XP000676295 ISSN: 0001-0782; *page 34, left-hand column, line 1-page 39, left-hand column, line 21*				—
VP		European Search Report for EP 01 11 2854 completed January 24, 2005 by Examiner C. Dujardin				—
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Examiner Signature	<i>Venkat Perongavoor</i>	Date Considered	1/9/2005
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